

- 1 JAP20 Rec'd PCT/PTO 27 JUL 2006

**METHOD AND APPARATUS FOR WIRELESS BRAIN INTERFACE****CROSS-REFERENCE TO RELATED APPLICATIONS**

The present application is related to, and claims priority from,  
5 U.S. Provisional Application No. 60/540,288 filed on January 29, 2004,  
herein incorporated by reference.

**TECHNICAL FIELD**

The present invention is related generally to methods and  
apparatus for acquiring brainwave data from a living organism, and in  
10 particular, to a method and apparatus for utilizing a wireless link to  
provide power to, and acquire digital brainwave data from, an implanted  
data acquisition device within a living organism.

**BACKGROUND ART**

A recently developed technology having widespread application  
15 in the field of data management and data acquisition is the use of Radio  
Frequency Identification (RFID) transponders or tags, which are a form  
of Automatic Identification and Data Capture (AIDC) technology,  
sometimes referred to as Automatic Data Capture (ADC) technology.  
The essence of AIDC technology is the ability to carry data in a suitable  
20 carrier and recover that data (read) or modify (write) it when required  
through a non-contact electromagnetic communications process across  
what is essentially an air interface.

AIDC utilizes wireless radio communications to uniquely identify  
objects by communicating with an AIDC transponder or tag associated

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with the object and programmed with unique identifying data related to an object or component. One type of AIDC transponder or tag consists of a logic circuit, a semiconductor memory, and a radio-frequency antenna configured to receive and transmit data. Numerous types and configurations of AIDC transponders or tags are known.

Data previously stored in the memory of the AIDC transponder or tag is optionally read or modified remotely over a wireless radio communications link, i.e. an air interface, to the AIDC transponder or tag, thereby providing features and capabilities not present with traditional bar code data storage. An AIDC interrogator containing a radio frequency transmitter-receiver unit used to query an AIDC transponder or tag. The AIDC interrogator optionally is disposed at a distance from the AIDC transponder or tag, and moving relative thereto. The AIDC transponder or tag detects the interrogating signal and transmits a response signal preferably containing encoded data stored in the semiconductor memory back to the interrogator. Such AIDC transponders or tags may have a memory capacity of 16 bytes to more than 64 kilobytes. In addition, the data stored in the AIDC transponder or tag semiconductor memory may optionally be re-written with new data or supplemented additional data transmitted from the AIDC interrogator.

Power for these data storage and logic circuits optionally is derived from an interrogating radio-frequency beam or from another external power source. Power for the transmission of data can also be derived from the RF beam or taken from another power source. As

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described in U.S. Patent No. 6,107,910 to Nysen, and in the publication  
"Understanding RFID" by Prof. Anthony Fumess, a variety of AIDC  
transponders or tags are known, such as surface acoustic wave devices,  
all of which provide power delivery, data storage, and data retrieval  
5 capabilities.

One field which can benefit greatly from improvements in wireless  
data acquisition is the field of biological signal and data acquisition. In  
particular, current systems for acquiring continuous or evoked bioelectric  
signals such as brain wave data from organisms typically rely upon a set  
10 of implanted electrodes or skin-contact electrodes which deliver  
electrical signals to a processing system consisting of signal  
amplification circuits, analog-to-digital conversion circuits, filter circuits,  
and eventually, to signal processing components wherein acquired  
brainwave signals are processed and evaluated.

15 These signal processing components are disposed external to  
the organism, and coupled to the implanted electrodes via cables or  
other suitable electrical conductors. The cable connectors linking the  
implanted electrodes with the processing system and any associated  
data storage systems significantly impact upon the normal activities of  
20 the organism. For example, when a small organism such as a mouse or  
rat is linked to such a system, the range of movement of the organism  
may be significantly limited by the length of cable. Correspondingly,  
when a larger, and potentially more inquisitive organism, such as a  
monkey, is linked to such a system, the risk of damage or disconnection

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of the cables from either the implanted electrodes or the processing system greatly increases.

Accordingly, it would be advantageous to provide an implantable data acquisition device configured to acquire brainwave signals from a living organism, and which is capable of utilizing a wireless interface to receive operating power and to communicate acquired data to an external processing system which is remotely disposed from the organism, enabling long-term acquisition of brainwave signals without the need for a physical connection to the external processing system.

## 10 SUMMARY OF THE INVENTION

Briefly stated, an embodiment of the present invention provides an implantable logic circuit configured to receive analog bioelectric signals, perform A/D conversion of the received analog bioelectric signals, signal sampling, and to communicate the signals to a remote processing system over a wireless communications link. In the preferred embodiment, power for the implantable logic circuit is derived from an external source over a wireless link.

In an alternate embodiment of the present invention, the implantable logic circuit is implemented on a very large scale integrated architecture (VLSI).

In an alternate embodiment of the present invention, the implantable logic circuit includes signal amplification components for amplifying received analog bioelectric signals and one-bit sigma-delta

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sampling components for facilitating the A/D conversion of the received analog bioelectric signals.

In an alternate embodiment of the present invention, the implantable logic circuit is a component in a biological organism data acquisition system which includes a containment cage, an electrical winding disposed in proximity to the containment cage, the implantable logic circuit, and an external processing system operatively coupled to the implantable logic circuit via a wireless interface and configured to control the flow of electrical power to the implantable logic circuit through the electrical winding. The implantable logic circuit is configured for implantation into a living organism, such as a mouse, rat, or other small vertebrate, and is coupled to receive continuous or evoked analog bioelectric signals, such as brainwave signals from implantable electrodes also disposed within the living organism. When the organism is placed within the containment cage, the implantable logic circuit receives power via radio-frequency emissions from the electrical winding, and is configured to preprocess analog signals received via the implantable electrodes prior to wirelessly transmitting data to a receiver operatively coupled to the external processing system. The implantable logic circuit preprocesses the analog signals by first amplifying the received signals, performing an A/D conversion, and then utilizing a 1-bit sigma/delta sampling process to generate an output signal for wireless transmission to the external processing system.

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In an alternate embodiment of the present invention, the implantable logic circuit is a component in a human patient brain activity monitoring system which includes an electrical winding disposed in proximity to a patient's head, the implantable logic circuit, and an external processing system operatively coupled to the implantable logic circuit via a wireless interface and configured to control the flow of electrical power to the implantable logic circuit through the electrical winding. The implantable logic circuit is configured for implantation into the human patient, and is coupled to receive analog bioelectric signals, such as continuous or evoked brainwave signals from implantable electrodes also disposed within the human patient. When the human patient is in proximity to the electrical winding, the implantable logic circuit receives power via radio-frequency emissions from the electrical winding, and is configured to preprocess analog signals received via the implantable electrodes prior to wirelessly transmitting data to a receiver operatively coupled to the external processing system. The implantable logic circuit preprocesses the analog signals by first amplifying the received signals, performing an A/D conversion, and then utilizing a 1-bit sigma/delta sampling process to generate an output signal for wireless transmission to the external processing system.

The foregoing and other objects, features, and advantages of the invention as well as presently preferred embodiments thereof will become more apparent from the reading of the following description in connection with the accompanying drawings.

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**BRIEF DESCRIPTION OF THE DRAWINGS**

In the accompanying drawings which form part of the specification:

Figure 1 is a processing flow chart identifying steps carried out on  
5 the implantable logic circuit, and steps carried out in an external processing system;

Figure 2 is a graphical representation of 1-bit sigma/delta sampling of a signal;

Figure 3 is a representative layout of the implantable logic circuit;  
10 and

Figure 4 is a simplified illustration of a data acquisition system of the present invention including a containment cage for a living organism.

Corresponding reference numerals indicate corresponding parts throughout the several figures of the drawings.

**15 BEST MODES FOR CARRYING OUT THE INVENTION**

The following detailed description illustrates the invention by way of example and not by way of limitation. The description clearly enables one skilled in the art to make and use the invention, describes several embodiments, adaptations, variations, alternatives, and uses of the  
20 invention, including what is presently believed to be the best mode of carrying out the invention.

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Turning to Figure 1, the present invention provides an implantable logic circuit or signal processor 10 which is configured to perform the functions of receiving analog bioelectric signals, analog-to-digital conversion of the received analog bioelectric signals, signal  
5 sampling, and wireless communication of the sampled signals to an externally disposed remote processing system 100. In the preferred embodiment, power for the implantable logic circuit 10 is derived from an external source over an air interface or wireless link such as an electromagnetic field.

10 Preferably, as shown in Figure 2, the implantable logic circuit 10 is implemented on a single integrated circuit, utilizing very large scale integrated (VLSI) circuit architecture, however, those of ordinary skill in the art will recognize that a wide variety of logic circuit architectures may be employed to build an implantable logic circuit having the desired  
15 functionality of the present invention. The implantable logic circuit 10 is encased in a matrix suitable for implantation in a living organism, and includes an input interface 12 through which analog signals from one or more implantable electrodes are received. Signals received at the interface 12 are passed to an amplifier circuit 14 and converted to digital  
20 format in an analog-to-digital converter circuit 16. The resulting digital signals are then routed to a sampling circuit 18 and conveyed to a transceiver circuit 20 for communication via a wireless interface 22 to the external signal processor 100. Power for the amplifier circuit 14, A/D converter circuit 16, sampling circuit 18, and transceiver circuit 20 is



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stored in a capacitor circuit 24, which includes an integrated antenna for receiving wireless power transmissions from an external source.

As shown in Figure 3, the signal sampling carried by the sampling circuit 18 out on the implantable logic circuit 10 requires that an original  
5 analog signal 30 received through the implantable logic circuit input interface 12 be amplified at circuit 14 and converted to a digital signal 32 in the A/D converter circuit 16. Next, using 1-bit sigma-delta ( $\Sigma\Delta$ ) sampling, the digital signal is converted into a 1-bit data stream 34 by the sampling circuit 18, wherein a "1" or high signal indicates an  
10 increase in signal amplitude, and a "0" or low signal indicated a decrease in signal amplitude. The resulting 1-bit data stream 34 is communicated via the wireless communications link 22 to the external signal processor 100, where it is filtered and processed as required, depending upon the particular type of brain activity signal. Processing is  
15 preferably performed in the external signal processor 100 to maintain the power consumption of the implantable logic circuit 10 at a reduced level which can be adequately supplied via the wireless link.

Those of ordinary skill in the art will recognize that a variety of signal sampling methods may be implemented within the scope of the  
20 present invention, and that the subsequent processing of the resulting data stream by the external signal processor 100 is highly dependant upon the particular type of brain activity signal being processed, and on the type of data which the system is acquiring.

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As shown in Figure 4, the implantable logic circuit 10 of the present invention may be utilized to acquire data from a living organism 200, such as a mouse, rat, or other vertebrate animal in a minimally invasive manner over an extended period of time. With the logic circuit 5 10 surgically implanted within the organism 200, and operatively coupled to similarly implanted sampling electrodes, analog bioelectric signals received through the implanted electrodes can be monitored by the external system 100 without requiring the organism 200 to be restrained or coupled to an electrical connection. Preferably, for small organisms 10 such as mice, rats, rabbits, etc., the organism 200 is contained within a containment cage 202, and an electrical winding 204 is disposed in proximity to the containment cage 202. For larger organisms, such as a human patient, the electrical winding 204 may be disposed in wearable article, such as a headband, or disposed in proximity to the patient's 15 head by incorporation into an examination chair or surgical table.

The implantable logic circuit 10 and external processing system 100 are operatively coupled via the wireless interface 22. The external processing system 100 is further configured to control a wireless flow of electrical power to the implantable logic circuit 10 through an 20 electromagnetic field generated by a controlled flow of electrical current through the electrical winding 204.

When the organism 200 is placed within the containment cage 202, or in proximity to the electrical winding 204, the implantable logic circuit 10 receives power via radio-frequency emissions from the

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electrical winding 204, and is configured to process the analog signals 30 received via the implantable electrodes prior to wirelessly transmitting a data stream 34 to a receiver associated with the external processing system 100. The implantable logic circuit 10 preferably processes the  
5 analog signals 30 by first amplifying the received signals, performing an A/D conversion, and then utilizing a 1-bit sigma/delta sampling process to generate an output data stream 34 for wireless transmission to the external processing system 100.

In an alternate embodiment, the implantable logic circuit 10 of the  
10 present invention may be utilized to acquire data from a human patient in a minimally invasive manner over an extended period of time, or as part of a brain-state monitoring system. For example, as part of an anesthesia and sedation monitoring system which provides an index representative of a human patient's level of anesthesia or sedation by  
15 monitoring one or more evoked bio-potential signals and/or random electroencephalogram activity to observe changes over time in response to the administration of an anesthetic or sedative. An exemplary brain-state / depth of anesthesia and sedation monitoring system is described in co-pending U.S. Patent Application No. 10/485,750, published as  
20 Patent Application Publication No. US 2004/0243017 A1, herein incorporated by reference.

With the logic circuit 10 surgically implanted within human patient, and operatively coupled to similarly implanted electrodes, analog bioelectric signals received through the implanted electrodes can be

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monitored by the external system 100 without requiring the human patient to be restrained or coupled to the external system 100 with an electrical connection. The external system 100 may be configured as a wearable unit, maintained in proximity to the human patient, or as a  
5 stationary unit, for example, maintained in a doctor's office or surgical suite, which is utilized at intervals to acquire brain activity information from the human patient.

When in proximity, the implantable logic circuit 10 and external processing system 100 are operatively coupled via the wireless interface  
10 22. The external processing system 100 is further configured to control a wireless flow of electrical power to the implantable logic circuit 10 through the electrical winding 204.

The implantable logic circuit 10 receives power via radio-frequency emissions from the electrical winding 204. The received  
15 power is utilized in the logic circuit 10 to process the analog signals 30 received via the implantable electrodes prior to wirelessly transmitting a data stream 34 to a receiver associated with the external processing system 100. The implantable logic circuit 10 preferably processes the analog signals 30 by amplifying the received signals, performing an A/D  
20 conversion, and then utilizing a 1-bit sigma/delta sampling process to generate an output data stream 34 for wireless transmission to the external processing system 100. Subsequent processing of the data stream 34 is performed in a conventional manner by the external

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processing system 100, reducing the power requirements for the implantable logic circuit 10.

Those of ordinary skill in the art will recognize that the embodiments of the present invention described herein are particularly  
5 suited to provide a means for monitoring the brainwave activity of an organism 200, but may be readily adapted to provide a means for monitoring other bioelectric signals in the organism 200 merely by suitable placement of the implantable electrodes which are coupled to the implantable logic circuit 10.

10 The present invention can be embodied in-part the form of computer-implemented processes and apparatuses for practicing those processes. The present invention can also be embodied in-part the form of computer program code containing instructions embodied in tangible media, such as floppy diskettes, CD-ROMs, hard drives, or an other  
15 computer readable storage medium, wherein, when the computer program code is loaded into, and executed by, an electronic device such as a computer, micro-processor or logic circuit, the device becomes an apparatus for practicing the invention.

The present invention can also be embodied in-part the form of  
20 computer program code, for example, whether stored in a storage medium, loaded into and/or executed by a computer, or transmitted over some transmission medium, such as over electrical wiring or cabling, through fiber optics, or via electromagnetic radiation, wherein, when the

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computer program code is loaded into and executed by a computer, the computer becomes an apparatus for practicing the invention. When implemented in a general-purpose microprocessor, the computer program code segments configure the microprocessor to create specific  
5 logic circuits.

In view of the above, it will be seen that the several objects of the invention are achieved and other advantageous results are obtained. As various changes could be made in the above constructions without departing from the scope of the invention, it is intended that all matter  
10 contained in the above description or shown in the accompanying drawings shall be interpreted as illustrative and not in a limiting sense.